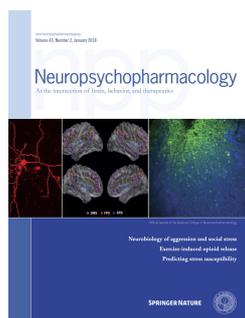


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Efficacy, tolerability, and cognitive effects of deep transcranial magnetic stimulation for late-life depression: a prospective randomized controlled trial

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Abstract

Late-life depression (LLD) is a growing worldwide problem due to demographic changes, with limited treatment options due to high rates of pharmacotherapy adverse effects, accessibility of psychotherapy, and tolerability of electroconvulsive therapy. Novel neuromodulation techniques, such as repetitive transcranial magnetic stimulation (rTMS), may overcome these limitations. The objective of this study is to determine the efficacy, tolerability, and cognitive effects of high-dose deep rTMS in LLD. In this study we randomized older adults between 60-85 years old with major depressive disorder (MDD) to sham or active deep rTMS (H1 coil, 6012 pulses, 18Hz, 120% of resting motor threshold) delivered over the dorsolateral and ventrolateral prefrontal cortex five days per week over four weeks. Our primary outcome was remission of depression in an intention to treat analysis. We also assessed change in cognitive functioning with rTMS treatment and tolerability based on adverse effects. Fifty-two participants were randomized to active (n=25) or sham H1 coil (n=27). Remission rate was significantly higher with active than sham rTMS (40.0% vs 14.8%) with a number needed to treat of 4.0 (95% CI:2.1-56.5). There was no change on any measure of executive function and no serious adverse events. Adverse effect profiles were similar between active and sham rTMS, except for reports of pain being significantly more common in the active condition (16.0% vs 0%). High-dose deep rTMS appears to be safe, well tolerated, and efficacious in the treatment of LLD.

Trial registration: ClinicalTrials.gov identifier NCT01860157

Keywords: Repetitive transcranial magnetic stimulation, late-life depression, clinical research, cognition

Introduction

Depression has recently become the leading cause of illness burden worldwide (World Health Organization, 2017) and occurs in 7% of adults age 60 years and older (World Health Organization, 2016). With worldwide demographic changes, the burden of late-life depression (LLD) is rapidly increasing (World Health Organization, 2016). When treated with antidepressant medication, many older adults experience adverse effects, drug-drug interactions, or do not respond to treatment (Mulsant and Pollock, 1998; Whyte *et al*, 2004). Non-pharmacotherapy alternatives for LLD are limited due to issues of accessibility for evidence-based psychotherapy (Gratzer and Goldbloom, 2016) and tolerability for electroconvulsive therapy (ECT) (Prudic, 2008). Therefore, the development and assessment of new non-pharmacological treatments is needed.

Over the past decade, repetitive transcranial magnetic stimulation (rTMS) has demonstrated effectiveness and tolerability for the treatment of depression in younger adults (Slotema *et al*, 2010). In a recent network meta-analysis, active rTMS was associated with higher odds of response than sham rTMS (Brunoni *et al*, 2017). However, in the few studies evaluating rTMS for LLD, older age has been a predictor of non-response to rTMS (Blumberger *et al*, 2015). The reasons for this finding remain unclear but it has been suggested that age-associated brain atrophy, and inadequate rTMS dosing have contributed to this poor response. First, previous studies have shown that age-related prefrontal cortical atrophy increases the distance between scalp and cortex, necessitating higher stimulation intensities (Fregni *et al*, 2006; Manes *et al*, 2001; Mosimann *et al*, 2002). This increased scalp-cortex distance may also impede conventional rTMS coils from achieving adequate cortical penetration necessary for therapeutic efficacy. Therefore, effective treatment of LLD with rTMS may require coil designs that provide sufficient cortical penetration. This may be possible with an H1 coil, which has been designed to stimulate deeper and larger brain volumes (Deng *et al*, 2013; Roth *et al*, 2002, 2007, 2014; Zangen *et al*, 2005). This coil design been shown to be safe and efficacious in open-label trials of younger adults with depression (Berlim *et al*, 2014; Isserles *et al*, 2011; Roth *et al*, 2002), and in a recent multi-centre sham-controlled randomized trial (Levkovitz *et al*, 2015). This latter trial included patients with MDD up to age 68, and the mean age of participants was 46. The H1 coil is generally well tolerated though, similar to conventional rTMS coils, there have been reports of accidental seizure induction (Cullen *et al*, 2016; Harel *et al*, 2011; Isserles *et al*, 2011; Levkovitz *et al*, 2011; Rosenberg *et al*, 2011). Second, it has been hypothesized that the treatment of LLD requires stimulation intensities that can overcome prefrontal atrophy (Nahas *et al*, 2004). In addition, early rTMS studies that have included older adults likely delivered too few pulses (Mosimann *et al*, 2004). Indeed, pivotal rTMS trials delivered 3000 pulses daily had minimal adverse effects (George *et al*, 2010; O'Reardon *et al*, 2007). There is both neurophysiological and clinical evidence to suggest that increasing the number of daily pulses may increase response rates. First, neurophysiological data suggests that a single session of 6,000 pulses of rTMS delivered at 20Hz increases cortical inhibition – a marker of treatment response for brain stimulation treatments (Sun *et al*, 2016; Voineskos *et al*, 2016) – compared to 1200 or 3600 pulses (de Jesus *et al*, 2014). Second, clinical data from trials of accelerated rTMS treatment protocols in which 15 sessions of 1,000 pulses of rTMS (total of 15,000 pulses) delivered over 2 days results in rapid treatment response (Holtzheimer *et al*, 2010).

In addition to the efficacy of deep rTMS in LLD, we also sought to determine its impact on cognitive functioning, including executive functioning which is frequently impaired in LLD (Butters *et al*, 2004; Koenig *et al*, 2015). Previous studies in younger adults have found that rTMS treatment is associated with improvements in cognitive functioning independent of mood changes (Martis *et al*, 2003), and a recent systematic review of the association between rTMS and executive functioning in older adults found the executive function benefits from rTMS were positively related to mood improvement in LLD (Ilieva *et al*, 2017). To date; however, there are no studies examining the association between deep rTMS and cognitive functioning in LLD.

Therefore, we conducted a prospective two-armed parallel superiority randomized control trial to evaluate the rates of LLD remission using high dose deep rTMS with an H1 coil, compared to a sham condition, in older adults with LLD. We also sought to determine the tolerability and impact on cognitive functioning of deep rTMS compared to a sham condition. We hypothesized that, compared to sham treatment, active rTMS would be associated with higher remission rates, similar tolerability, and improvements in cognitive functioning.

Methods

Participants

This was a double-blind randomized sham-controlled trial conducted at the Center for Addiction and Mental Health (CAMH), a 530-bed academic psychiatric hospital in Toronto, Canada. The study was approved by the CAMH research ethics board, and all participants provided written informed consent at the time of enrollment into the study. Participants were outpatients between the ages of 60-85 with a diagnosis of major depressive disorder (MDD) confirmed using the Structured Clinical Interview for DSM-IV (SCID) (First *et al*, 2002). They met the following additional inclusion criteria: current major depressive episode with a score ≥ 22 on the 24-item Hamilton Depression Rating Scale (HDRS-24)(Hamilton, 1960); lack of response to at least one adequate or two inadequate antidepressant trials during the current episode, as assessed by the Antidepressant Treatment History Form (ATHF) (Sackeim *et al*, 1990); and receiving stable dosages of psychotropic medications for at least four weeks prior to screening. The exclusion criteria were: substance dependence/abuse less than three months preceding study entry; unstable medical/neurologic illness; acute suicidality; SCID-IV diagnosis of bipolar I or II disorder; primary psychotic disorder; psychotic symptoms in current episode; primary diagnosis of obsessive-compulsive, post-traumatic stress, anxiety, or personality disorder; probable dementia diagnosis based on a Mini Mental Status Exam (MMSE) score < 26 and clinical evidence of dementia; rTMS contraindication (i.e. history of seizures; intracranial implant); failed ECT trial during current episode; previous rTMS treatment; receiving bupropion > 300 mg/day due to dose-dependent increased risk of seizures (Fava *et al*, 2005); receiving lorazepam > 2 mg/day or any anticonvulsant due to reduced cortical excitability which may interfere with rTMS efficacy (Ziemann *et al*, 1996a, 1996b); or significant laboratory abnormalities.

Study Design

Participants were randomized to active rTMS or sham rTMS, administered five days per week for a total of 20 treatments over 4 weeks, and continued their psychotropic medications unchanged for the trial duration. Participants who achieved remission by the end of week 4 (defined as both HDRS-24 ≤ 10 and $\geq 60\%$ reduction from baseline on two consecutive weeks) then continued with twice weekly rTMS for two weeks (4 additional treatments) to improve the likelihood of a durable remission. Participants who did not meet criteria for remission exited the study at 4 weeks. Participants were withdrawn if: HDRS-24 increased from baseline $>25\%$ on two consecutive assessments, they developed significant suicidal ideation, or attempted suicide. The target sample size was 80 to ensure statistical power of 0.8 based on a power analysis assuming a type I error rate of 0.05, sham condition remission rate of 10%, active treatment group remission rate of 36%, and 1:1 allocation between treatment groups. These remission rates were based on previous studies using the same deep rTMS device (Levkovitz *et al*, 2009, 2015).

Randomisation and Blinding

Participants were randomized (1:1) using a permuted block method with a random number generator prepared by an independent study consultant. Blocks were of fixed size and study personnel were blinded to randomization block size. Participants were stratified by treatment-resistance (ATHF ≥ 3 or <3), and were blinded to treatment condition. Study blind was assessed after the first treatment when participants were asked whether they had received active or sham stimulation. Clinical evaluators and study investigators were also blinded to treatment condition. To ensure allocation concealment, randomization was managed by an independent assistant who assigned a unique participant number and condition code for each participant. The unique participant number and condition code matched a pre-programmed treatment card. The treating technician then inserted the participant's pre-programmed card, to activate the active or sham mode. This ensured that operators were also blinded to the randomized condition.

rTMS Technique

We administered rTMS using a Brainsway deep rTMS system with the H1 coil device (Brainsway Ltd., Jerusalem, Israel). Intensity was derived using resting motor threshold (RMT) obtained before treatment according to previously published methods (Rossini *et al*, 1994). The first six participants (five in active and one in sham group) received treatment with an H1L helmet coil which stimulates entirely over the left dorsolateral prefrontal cortex (DLPFC). However, this coil was found to be poorly tolerated (described below). As such the protocol was revised and the H1 Coil was used for all subsequent participants. The participants who received treatment with the H1L coil are not included in subsequent analyses due to substantial differences in the electric field properties of this coil (Levkovitz *et al*, 2009). Three of these six participants did not complete the intervention, two in the active and one in the sham condition. One of the participants who did not complete the intervention was in the active H1L condition and experienced a seizure one day after the 10th session; the other participant in the active H1L condition who dropped out was unable to tolerate the stimulus due to pain at the site of stimulation. The participant in the sham H1L condition who dropped out was also unable to tolerate the

stimulus due to pain at the stimulation site. Other adverse effects experienced in the active H1L condition included: headache (n = 1), pain (n = 1), and nasopharyngitis (n = 1).

All subsequent rTMS sessions were delivered with the H1 coil targeting the dorsolateral and ventrolateral prefrontal cortex bilaterally, with greater intensity and penetration of the left hemisphere (Levkovitz *et al*, 2009; Roth *et al*, 2007), and performed at 120% of the RMT, similar to previous studies of depression (Levkovitz *et al*, 2009). The active rTMS group received the following standardized dose of rTMS: 18 Hz, at 120% RMT, 2 sec pulse train, 20 second inter-train interval, 167 trains, for a total of 6012 pulses per session over 61 minutes. The control group received a sham intervention with identical parameters, device and helmet. However, when sham mode was initiated, the active H1 coil was disabled, and a second coil (sham H1 coil) located within the treatment helmet but far above the participant's scalp was active. This sham H1 coil delivered a similar tactile and auditory sensation as the active H1 coil, but the electric field was insufficient to induce neuronal activation.

Assessments and Outcomes

The following clinical dimensions were assessed at baseline: depressive symptoms using HDRS-24; suicidal ideation using the Scale for Suicidal Ideation (SSI) (Beck *et al*, 1979); health-related quality of life (HRQoL) using the 36-Item Short Form Survey (SF-36) (Dombrovski *et al*, 2007); anxiety using the Brief Symptom Inventory anxiety subscale (BSI) (Derogatis and Melisaratos, 1983); and cognitive function using the Repeatable Battery for the Assessment of Neuropsychological Status (RBANS) (Randolph *et al*, 1998) and two sub-scales from the Delis-Kaplan Executive Function System (DKEFS): Color Word Interference (DKEFS-CWI) (measuring response inhibition) and Trail Making Test (DKEFS-TMT) (measuring set-shifting) (Delis *et al*, 2004). The following measures were repeated weekly during the intervention: HDRS-24, SSI, and BSI; or at study end: SF-36, RBANS and DKEFS. Adverse events were recorded by the rTMS operator after every session.

The primary outcome was remission defined as described above. Secondary efficacy outcomes included response rate (> 50% reduction in HDRS-24 relative to baseline on two consecutive weeks), and treatment-attributable change in HDRS-24. Other secondary efficacy outcomes included treatment-attributable change in suicidal ideation, anxiety, HRQOL, and executive functioning. Safety and tolerability were assessed by comparing adverse event rates between the two conditions.

Analysis

We compared baseline differences in demographic and clinical characteristics between active and sham rTMS conditions as well as between study drop outs and completers. We assessed group differences in these factors using chi-square analyses or Fisher's exact test, student's t-test, or Wilcoxon rank sum test as appropriate. Success of blinding was assessed using the kappa statistic. For study outcomes, analyses were completed according to the intention to treat principle, except where indicated otherwise. For our primary outcome we calculated the proportion of participants meeting remission criteria; number needed to treat (NNT) to achieve remission; the probability of remission with active relative to sham

rTMS (RP) and 95% confidence intervals (CI). We used a linear mixed effects model to determine treatment-attributable changes in our efficacy and cognitive outcome measures (HDRS-24, SSI, BSI, SF-36, RBANS, and DKEFS) over time and we compared them in the sham and active rTMS conditions. For cognitive assessments using RBANS, to ensure comparability between pre- and post-treatment assessments we calculated a Z-score based on the particular version's (A or B) normative mean and standard deviation. The model used time, treatment, and treatment by time interaction as fixed effects. Time was considered a categorical variable with five levels for the weekly assessments from baseline to week 4. Participants entered the model as random effects, which imposes a compound symmetry structure to the errors within each participant. Our focus was on the treatment by time interaction and whether it was significant at $\alpha < 0.05$. A significant interaction was interpreted as offering evidence that the effect of time (i.e., the outcome trajectory over time) was different between conditions. We also used contrasts to test if the change from baseline to week 4 was different between conditions and reported the 95% CI. For our safety and tolerability outcomes we compared the rates of serious adverse events (SAEs) and adverse events between the two conditions. Analysis was completed using SAS 9.3 (SAS Institute, Cary North Carolina, USA) and SPSS 23.0 (IBM Corporation, Armonk New York, USA) software. Study results are reported in accordance with the CONSORT extension for non-pharmacologic interventions (Boutron *et al*, 2017) and the trial was registered with ClinicalTrials.gov, number NCT01860157.

Results

Participant Flow and Sample Characteristics

The flow of participants is presented in Figure 1. Participants were recruited from June 2013 until July 2016 with final follow-up in November 2016. The intention to treat (ITT) sample was defined as all eligible participants randomized to H1 coil treatment and included 25 and 27 participants in active and sham rTMS respectively. Trial recruitment was stopped before the target sample size was reached due to ending of the grant funding period.

Baseline participants' characteristics are summarized in Table 1: there were no differences between the two groups. Forty-seven participants (90.4%) completed the acute course, and there were no baseline demographic or clinical differences between these 47 participants and the 5 who dropped out (who were all in the active condition).

Assessment of Blinding

Participants were asked to guess their condition, 17 of 25 participants (68.0%) randomized to the active condition and 11 of 27 participants (40.7%) randomized to the sham condition guessed correctly. The agreement between a participant's actual and perceived allocation suggested no agreement ($\kappa = 0.09$, $p = 0.51$) (McHugh, 2012) indicating adequate participant blinding.

Efficacy

Primary Outcome: Remission Rates

In the ITT sample, there was a significantly higher rate of remission in participants receiving active deep rTMS (10/25, 40.0%; CI = 21.1-61.3%) compared to sham rTMS (4/27, 14.8%; CI = 4.2-33.7%; $\chi^2 = 4.2$, d.f. = 1, $p < 0.05$) (Figure 2). The NNT to achieve remission was 4.0 (CI = 2.1-56.5) and the RP of response was 2.7 (CI = 1.0-7.52). In the per protocol sample, defined as participants who completed four weeks of treatment, there was a significantly higher rate of remission in subjects receiving active deep rTMS ($n = 10$; 50.0%; CI = 28.1-71.9%) compared to sham deep rTMS ($n = 4$; 14.8%; CI = 1.4-28.2; $\chi^2 = 6.8$, d.f. = 1; $p < 0.05$). In this sample, the NNT was 2.8 (CI = 1.6-10.5) and the RP was 3.4 (CI = 1.2-9.2). There were 14 participants who achieved remission by week 4 and received two additional weeks of treatment: 10 in the active treatment and 4 in sham treatment. The majority of patients remained in remission until week 6: 9/10 in the active treatment arm and 4/4 in the sham treatment arm, which was not significantly different between groups (Fisher's exact $p=1.0$).

Secondary Outcome: Response Rates

In the ITT sample, the rate of response was significantly higher with active deep rTMS (11/25; 44.0%; CI = 24.5-63.5%) than with sham rTMS (5/27; 18.5%; CI = 3.9-33.2%; $\chi^2 = 4.0$, d.f. = 1, $p < 0.05$). The NNT to achieve response was 3.9 (CI = 2.0-89.3) and the RP of response was 2.4 (CI = 1.0-5.9). In the per protocol sample, there was a significantly higher rate of response in subjects receiving active deep rTMS ($n = 11$; 55.0%; CI = 33.2-76.8%) compared to sham deep rTMS ($n = 5$; 18.5%; CI = 3.9-33.2%; $\chi^2 = 6.8$, d.f. = 1; $p < 0.05$). In this sample, the NNT was 2.7 (CI = 1.6-9.8) and the RP was 3.0 (CI = 1.2-7.2). The 14 participants who received two additional weeks of treatment maintained their response out to week 6 in the same proportions as remission: 9/10 in the active treatment arm and 4/4 in the sham treatment arm (Fisher's exact $p=1.0$).

Secondary Outcome: Change in HDRS-24 Score

From the mixed-effects model, the effect of time in both groups was characterized by a drop in HDRS-24 scores over time ($F = 36.5$, d.f. = 189.0; $p < 0.001$). There was no evidence for an effect of treatment condition ($F = 3.3$, d.f. = 49.0; $p = 0.08$). The time by treatment interaction was not significant ($F = 0.9$, d.f. = 189.0; $p = 0.438$) (Supplemental Figure 1).

Other Secondary Outcomes:

From the mixed-effects model, the effect of time on the SSI, BSI, and SF-36 did not differ significantly between the active and sham rTMS conditions. Similarly, the changes of these measures from baseline to week 4 did not differ significantly (see Table 2).

Change in Cognitive Function

From the mixed-effects model, there was a significant effect of time on the following RBANS scales: total scale ($F = 37.1$, d.f. = 44.6; $p < 0.001$), immediate memory scale ($F = 12.5$, d.f. = 45.1; $p < 0.001$), delayed memory scale ($F = 45.8$, d.f. = 45.1; $p < 0.001$), language scale ($F = 9.6$, d.f. = 47.3; $p < 0.003$). There was also a significant effect of time on DKEFS-CWI (inhibition condition; $F = 9.5$, d.f. = 45.7; $p <$

0.003). However, the effect of time did not differ significantly between the active and sham conditions on any cognitive (including executive) function measure (see Table 3).

Safety and Tolerability

No serious adverse events were observed in this trial. Five of 52 participants in the ITT sample dropped out after a mean (\pm SD) of 11.2 ± 4.5 sessions. All five were in the active condition; one participant did not wish to continue treatment despite symptom improvement; one due to worsening symptoms; one due to discomfort from stimulus; one required surgery for a corneal tear judged to be unrelated to treatment; and one had back pain and nausea secondary to renal colic judged to be unrelated to treatment. Adverse effects in the ITT sample ($n = 52$) are presented in Table 4. The only adverse effect significantly more common in the active condition was pain (16.0% vs 0%, Fisher's exact = 0.05).

Discussion

To our knowledge, this is the first randomized controlled trial of extended duration deep rTMS in LLD. Our older participants randomized to active deep rTMS experienced a remission rate of 40.0% compared to 14.8% in the sham condition, corresponding to a NNT of 4. Similarly, deep rTMS produced a higher response rate. Overall tolerability of the H1 coil was good as only one participant discontinued treatment due to inability to tolerate the stimulus. Adverse effects were similar in the active and sham condition except for pain, which was more common with active deep rTMS.

In our trial, deep rTMS was associated with a meaningful remission rate (40.0%) and a NNT smaller than typical NNTs of 5-10 reported in pharmacologic trials for older or younger persons with treatment-resistant depression (Crossley and Bauer, 2007; Lenze *et al*, 2015; Nelson and Papakostas, 2009). Furthermore, while previous studies of conventional rTMS report lower remission rates in LLD than younger adults with MDD (Blumberger *et al*, 2015), the remission rate of active rTMS we found in this study (40.0%) is comparable to remission rates reported in the recent multi-centre trial of deep rTMS in younger adults (32.6%; NNT of 5.6) (Levkovitz *et al*, 2015). We also demonstrated durability of remission and response for up to two weeks after daily rTMS treatments. However, given the lack of an active comparator (i.e. standard rTMS coil), we were unable to determine if the superiority of active deep rTMS compared to sham was due to coil design features enabling the pulses to overcome age-related prefrontal cortical atrophy (Kozel *et al*, 2000) or because the number of pulses per session in this trial (6,012) was double the standard 3,000 pulses per session (George *et al*, 2010; O'Reardon *et al*, 2007) and three times the number of pulses used in the multicentre H1 coil trial (Levkovitz *et al*, 2015). Irrespective of the underlying mechanism, our results suggest that LLD can be effectively treated with rTMS.

We also observed significant improvements over time in several of our secondary measures -- i.e., the HDRS-24, BSI, and several cognitive functioning measures—but these improvements were independent of the conditions. This suggests a non-specific effect of participation in daily rTMS, which is congruent with the meta-analytic finding that sham rTMS is associated with large treatment effect sizes (Brunoni *et al*, 2009). Given the brief duration of our trial (i.e., 4 weeks), it is unlikely that these improvements are

due to the natural longitudinal course of depression (Richards, 2011). While we did not observe treatment-attributable improvement in executive functioning within the short duration of our trial, this lack of difference between the active and sham conditions suggests that deep rTMS does not disturb cognitive functioning in older adults with LLD, which would be a significant advantage over ECT (Prudic, 2008).

With respect to safety and tolerability, despite the age of our participants and the high doses of rTMS (6,012 pulses per session at 120% RMT), deep rTMS was relatively well tolerated with only one dropout due to stimulus discomfort and the only adverse effect significantly more common in the active condition was pain. While this result compares favourably to prior trials (Blumberger *et al*, 2016; George *et al*, 2010; O'Reardon *et al*, 2007), future trials using the H1 coil will be needed to determine if the increased rate of pain causes more frequent dropouts and to compare tolerability with conventional rTMS coils.

Limitations & Future Work

While the results of this study have important implications, some limitations need to be considered. First, we did not reach our target sample size and while the results of our primary analysis were statistically significant, the confidence intervals were large. Second, even though clinical evaluators, operators, and participants were blinded, adverse effects (specifically pain) were different between the two conditions. This has the potential to unblind allocation; however, previous rTMS studies using this and other devices have found that despite differing adverse effect rates, concealment of group allocation is maintained (George *et al*, 2010; Levkovitz *et al*, 2015; O'Reardon *et al*, 2007). Third, this trial assessed outcomes over a short period of time. Future work will need to determine the durability of response to rTMS given the chronic, recurrent course of LLD (Comijs *et al*, 2015). We were also unable to assess other potential longer-term effects of deep rTMS (e.g., on executive functions). Fourth, the higher drop-out rate in active compared to sham deep rTMS was unexpected, and though only one dropout was due to tolerability, future studies will need to determine if this difference was the result of chance or reflects H1 coil tolerability. Finally, the high number of pulses delivered at each session required approximately 60 minutes and the length of these sessions may limit broader implementation of this approach.

Conclusion

This randomized controlled trial provides evidence for the efficacy and tolerability of high-dose deep rTMS for LLD. Participants who received active deep rTMS or sham rTMS had a remission rate of 40.0% and 14.8%, respectively, yielding a low NNT of 4.0. The H1 coil was well tolerated with only one participant dropping out due to inability to tolerate the stimulus, and pain was the only adverse effect more common with active rTMS. Based on these results, future studies with longer follow-up periods are justified to determine the role of deep rTMS for the treatment of LLD.

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Figure Legends

Fig. 1 CONSORT diagram depicting flow of participants through study. Note: rTMS = repetitive transcranial magnetic stimulation

Fig. 2 (A) Remission and (B) response rates with 95% confidence intervals based on 24-item Hamilton Depression Rating Scale between the intention to treat group (active (n=25) and sham (n=27)) and per protocol group (active(n=20) and sham (n=27)). In the primary trial outcome (remission in the intention to treat group) there were significantly more remitters who received active compared to sham rTMS ($p<0.05$). rTMS = repetitive transcranial magnetic stimulation.

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Meeting Presentations

Part of this work has previously been presented at the Society of Biological Psychiatry 2017 and 2018 Annual Conference.

Abbreviations

ATHF = Antidepressant Treatment History Form

BSI = Brief Symptom Inventory

CWI = Color Word Interference

DKEFS = Delis-Kaplan Executive Function System

DLPFC = dorsolateral prefrontal cortex

DSM = Diagnostic and Statistical Manual of Mental Disorders

ECT = electroconvulsive therapy

HDRS-24 = Hamilton depression rating scale, 24 item

HRQOL = Health-related quality of life

rTMS = repetitive transcranial magnetic stimulation

LLD = late-life depression

MDD = Major depressive disorder

MEM = Mixed effects model

MMSE = Mini Mental Status Exam

NNT = Number needed to treat

RBANS = Repeatable Battery for the Assessment of Neuropsychological Status

RMT = resting motor threshold

SCID = Structured Clinical Interview for DSM-IV

SF-36 = 36-Item Short Form Survey

SSI = Scale for Suicidal Ideation

TMT = Trail Making Test

Tables**Table 1.** Participant demographic, clinical, and treatment characteristics

	Group; mean \pm SD or no. (%)	
	Active rTMS (n=25)	Sham rTMS (n=27)
Demographics		
Age (years) [Max-Min]	65.0 \pm 5.5 [60-80]	65.4 \pm 5.5 [60-79]
Sex (M:F)	17:8	15:12
Education (yrs)	17.0 \pm 2.5	16.5 \pm 1.8
Age at illness onset	32.9 \pm 18.0	30.4 \pm 18.6
Clinical Characteristics		
Recurrent episode	22 (88.0)	23 (85.2)
Duration of current episode (months)	27.4 \pm 36.4	43.1 \pm 65.2
Number of episodes ¹	2.0 \pm 1.7	3.3 \pm 2.8
Comorbid psychiatric disorder ²	4 (16.7)	8 (29.6)
Comorbid personality disorder ²	0 (0)	1 (3.7)
Mean number of adequate trials ³	1.6 \pm 1.0	1.8 \pm 1.0
Two or more failed medication trials	10 (40.0)	15 (55.5)
Baseline HDRS-24	25.8 \pm 4.0	27.6 \pm 4.1
Baseline MMSE Total	29.2 \pm 0.9	29.0 \pm 1.2
Active Medications		
On any antidepressant ⁴	18 (72.0)	17 (63.0)
Two antidepressants	1 (4.3)	5 (18.5)
No antidepressants	5 (21.7)	10 (37.0)
Benzodiazepine	8 (34.8)	7 (25.9)
Atypical Antipsychotic	0 (0)	3 (11.1)
Antidepressant-antipsychotic combo	0 (0)	3 (11.1)
Antidepressant and lithium	1 (4.3)	1 (3.7)
rTMS Characteristics		
Number of rTMS treatments	20.6 \pm 6.0	20.8 \pm 2.2
Average stimulus intensity ⁵	118.4 \pm 0.01	118.3 \pm 0.02
Baseline motor threshold (%)	58.9 \pm 7.9	59.1 \pm 13.6

Abbreviations: rTMS = repetitive transcranial magnetic stimulation; SD = standard deviation; ATHF = Antidepressant Treatment History Form; HDRS-24 = 24-item Hamilton Depression Rating Scale; MMSE = Mini-mental status examination

¹n=9 in active group and n=11 in sham group; ²n=24 in active group; ³adequate trial was defined as a trial of medication of sufficient dose and duration to warrant an ATHF score of ≥ 3 ; ⁴Any antidepressant whether dose was adequate or inadequate; ⁵stimulus intensity titrated from 100% of resting motor threshold to 120% by treatment five.

Table 2. Estimated marginal means from mixed effect model for symptom and quality of life assessments

Variable	Timepoint - Mean (SE)					Δ Baseline-Week 4 Active vs Sham (95% CI)
	Baseline	Week 1	Week 2	Week 3	Week 4	
SSI						
<i>Active</i>	2.0 (0.9)	2.1 (0.9)	0.9 (0.9)	1.4 (0.9)	0.5 (0.9)	
<i>Sham</i>	3.3 (0.8)	2.8 (0.8)	3.0 (0.8)	3.2 (0.8)	2.3 (0.8)	0.4 (-2.2 – 2.9)
BSI						
<i>Active</i>	9.0 (1.2)	7.6 (1.2)	6.6 (1.2)	6.5 (1.3)	5.8 (1.3)	
<i>Sham</i>	8.9 (1.2)	7.7 (1.2)	8.6 (1.2)	7.3 (1.2)	7.0 (1.2)	1.4 (-1.2 – 3.9)
SF-36						
<i>Active</i>	100.4 (1.6)	NA	NA	NA	101.6 (1.7)	
<i>Sham</i>	99.5 (1.5)	NA	NA	NA	99.8 (1.5)	0.9 (-5.7 – 3.8)

Note: Fixed effects included in model were treatment allocation, time and treatment x time interaction and participant identifiers were a random effect (random intercept).

Abbreviations: SSI = Scale for Suicidal Ideation; BSI = Brief Symptom Inventory; SF-36 = 36-Item Short Form Survey

Table 3. Estimated marginal means from mixed effect model for cognitive functioning assessments

Variable	Timepoint - Mean (SE)		Δ Baseline-Week 4 Active vs Sham (95% CI)
	Baseline	Week 4	
RBANS – Total¹			
<i>Active</i>	-0.9 (0.2)	-0.4 (0.2)	
<i>Sham</i>	-0.8 (0.2)	-0.3 (0.2)	0.0 (-0.3 – 0.3)
RBANS – Immediate Memory¹			
<i>Active</i>	-0.8 (0.3)	-0.1 (0.3)	
<i>Sham</i>	-0.7 (0.2)	-0.3 (0.3)	0.2 (-0.8 – 0.3)
RBANS – Visuospatial¹			
<i>Active</i>	-0.4 (0.3)	-0.1 (0.3)	
<i>Sham</i>	0.3 (0.3)	0.3 (0.3)	0.3 (-0.9 – 0.2)
RBANS – Language¹			
<i>Active</i>	-0.6 (0.1)	-0.4 (0.1)	
<i>Sham</i>	-0.8 (0.1)	-0.4 (0.1)	0.2 (-0.2 – 0.7)
RBANS – Attention¹			
<i>Active</i>	-0.7 (0.2)	-0.5 (0.2)	
<i>Sham</i>	-0.9 (0.2)	-0.9 (0.2)	0.2 (-0.7 – 0.2)
RBANS – Delayed Memory¹			
<i>Active</i>	-0.8 (0.2)	-0.2 (0.2)	
<i>Sham</i>	-0.7 (0.2)	0.1 (0.2)	0.2 (-0.2 – 0.6)
RBANS – Coding¹			
<i>Active</i>	-0.6 (0.2)	-0.5 (0.2)	
<i>Sham</i>	-0.5 (0.1)	-0.4 (0.2)	0.1 (-0.4 – 0.2)
RBANS – Semantic Fluency			
<i>Active</i>	19.8 (0.8)	19.0 (0.9)	
<i>Sham</i>	18.8 (0.8)	18.7 (0.8)	0.7 (-1.8 – 3.2)
DKEFS-CWI Condition 3²			
<i>Active</i>	10.2 (0.5)	11.0 (0.6)	
<i>Sham</i>	10.5 (0.5)	11.4 (0.5)	0.0 (-1.1 – 1.2)
DKEFS-CWI Condition 4³			
<i>Active</i>	11.2 (0.6)	11.3 (0.6)	
<i>Sham</i>	11.4 (0.5)	11.3 (0.5)	0.2 (-1.6 – 1.1)
DKEFS-TMT			
<i>Active</i>	8.6 (0.7)	9.0 (0.8)	
<i>Sham</i>	9.4 (0.7)	9.7 (0.7)	0.1 (-2.2 – 2.0)

Note: Fixed effects included in model were treatment allocation, time and treatment x time interaction and participant identifiers were a random effect (random intercept).

Abbreviations: RBANS = Repeatable Battery for the Assessment of Neuropsychological Status; DKEFS = Delis-Kaplan Executive Function System; CWI = Color Word Interference; TMT = Trail Making Test; SE = standard error; CI = Confidence Interval; Δ = Change in Symptoms

¹Z-score calculated based on test mean and standard deviation to account for differences between test versions; ²Test score weighted based on inhibition; ³Test score weighted based on switching

Table 4. Adverse effects by rTMS treatment condition

Adverse Effect	Group; no. (%)	
	Active (n=25)	Sham (n=27)
Headache after treatment	14 (56.0)	10 (37.0)
Nasopharyngitis	1 (4.0)	0 (0)
Pain at stimulation site	4 (16.0)	0 (0)
Aphthous Ulcer	1 (4.0)	0 (0)
Corneal abrasion	1 (4.0)	0 (0)
Dermatitis	1 (4.0)	0 (0)
Sinusitis	1 (4.0)	0 (0)
Nausea	1 (4.0)	1 (3.7)
Dental pain	0 (0)	1 (3.7)
Increased anxiety	0 (0)	1 (3.7)

Note: Bolded adverse effects indicates $p < 0.05$, Fisher's exact test

Abbreviation: rTMS = repetitive transcranial magnetic stimulation

Enrollment

Assessed for eligibility (n=75)



